



*James Ellis
Head of Legal and
Democratic Services*

MEETING : AUDIT AND GOVERNANCE COMMITTEE
VENUE : COUNCIL CHAMBER, WALLFIELDS, HERTFORD
DATE : TUESDAY 9 NOVEMBER 2021
TIME : 7.00 PM

MEMBERS OF THE COMMITTEE

Councillor M Pope (Chairman)
Councillors A Alder, R Fernando, A Huggins, T Stowe, R Townsend and
A Ward-Booth (Vice-Chairman)

Substitutes

Conservative Group: Councillors J Burmicz and A Curtis

*(Note: Substitution arrangements must be notified by the absent Member
to Democratic Services 24 hours before the meeting)*

**CONTACT OFFICER: LORRAINE
BLACKBURN
01279 502172
lorraine.blackburn@eastherts.gov.uk**

This agenda has been printed using 100% recycled paper

DISCLOSABLE PECUNIARY INTERESTS

1. A Member, present at a meeting of the Authority, or any committee, sub-committee, joint committee or joint sub-committee of the Authority, with a Disclosable Pecuniary Interest (DPI) in any matter to be considered or being considered at a meeting:
 - must not participate in any discussion of the matter at the meeting;
 - must not participate in any vote taken on the matter at the meeting;
 - must disclose the interest to the meeting, whether registered or not, subject to the provisions of section 32 of the Localism Act 2011;
 - if the interest is not registered and is not the subject of a pending notification, must notify the Monitoring Officer of the interest within 28 days;
 - must leave the room while any discussion or voting takes place.
2. A DPI is an interest of a Member or their partner (which means spouse or civil partner, a person with whom they are living as husband or wife, or a person with whom they are living as if they were civil partners) within the descriptions as defined in the Localism Act 2011.
3. The Authority may grant a Member dispensation, but only in limited circumstances, to enable him/her to participate and vote on a matter in which they have a DPI.
4. It is a criminal offence to:

- fail to disclose a disclosable pecuniary interest at a meeting if it is not on the register;
- fail to notify the Monitoring Officer, within 28 days, of a DPI that is not on the register that a Member disclosed to a meeting;
- participate in any discussion or vote on a matter in which a Member has a DPI;
- knowingly or recklessly provide information that is false or misleading in notifying the Monitoring Officer of a DPI or in disclosing such interest to a meeting.

(Note: The criminal penalties available to a court are to impose a fine not exceeding level 5 on the standard scale and disqualification from being a councillor for up to 5 years.)

Public Attendance

East Herts Council provides for public attendance at its virtual meetings and will livestream and record this meeting. The livestream will be available during the meeting on the East Herts District Website at this link

<http://democracy.eastherts.gov.uk/ieListDocuments.aspx?CId=158&MId=3915&J=1>

If you would like further information, email democratic.services@eastherts.gov.uk or call the Council on 01279 655261 and ask to speak to Democratic Services.

AGENDA

1. Apologies
2. Minutes - 14 September 2021 (Pages 7 - 20)
3. Chairman's Announcements
4. Declarations of Interest
5. Training: Treasury Management
6. Budget 2022/23 and Medium Term Financial Plan 2022/23 - 2026/27
(Pages 21 - 36)
7. Annual Treasury Report 2020/21 (Pages 37 - 40)
8. Treasury Management 2021/22 Mid Year review (Pages 41 - 84)
9. Annual Infrastructure Funding Statement report 2020/21 (Pages 85 - 118)
10. Strategic Risk Register Monitoring - Quarter 2: 2021/22 (Pages 119 - 158)
11. Anti-Fraud and Corruption Policy (Pages 159 - 198)
12. Shared Internal Audit Service - Internal Audit Plan Progress Report
(Pages 199 - 222)
13. External Audit National Procurement Opt in (Pages 223 - 230)

14. Data Protection Update (Pages 231 - 238)
15. Standards Update (Pages 239 - 244)
16. Audit and Governance - Work Programme (Pages 245 - 260)
17. Urgent Items